

CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY – TRANSMISSION LINE OF 138 kilovolts or more and more than 5280 feet in length
Filing Requirements Checklist

(Applicable Authority: 807 KAR 5:120)

Case No. _____ Applicant Name _____ Received Date _____ Form Circulation Date _____

Instructions:

- 1) Each division noted in "Division Responsible" column is to complete review of responsible items. within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Brenda Talley following review.

Reviewed by following Divisions:

Date

Staff Member

_____	Filings	_____	_____
_____	Financial Analysis	_____	_____
_____	Engineering	_____	_____
_____	Legal	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Waiver Requested</u>	<u>Approve Waiver?</u>	
			Yes	No		Yes	No
FILINGS	807 KAR 5:001 Section 8(1)	All applications must be by petition in writing. The petition must set forth the full name and post office address of the applicant, and must contain fully the facts on which the application is based, with a request for the order, authorization, permission or certificate desired and a reference to the particular provision of law requiring or providing for same.					
FILINGS	807 KAR 5:001 Section 8(2)	At the time the original application is filed, six (6) additional copies must also be filed, and where parties interested in the subject matter of the application are named therein, there shall be filed an additional copy for each named party and such other additional copies as may be required by the secretary.					
LEGAL	807 KAR 5:001 Section 8(3)	If the applicant is a corporation, a certified copy of its articles of incorporation, and all amendments thereto, if any, shall be annexed to the application. If applicant's articles of incorporation and amendments thereto, if any, have already been filed with the commission in some prior proceeding, it will be sufficient if this fact is stated in the application and reference is made to the style and case number of the prior proceeding.					

Division Responsible	Law/Regulation	Filing Requirement	Requirement Met		Waiver Requested	Approve Waiver?	
			Yes	No		Yes	No
ENGINEERING	807 KAR 5:001 Section 9(2)	When application is made by the utility, person, firm, or corporation for a certificate that the present or future public convenience or necessity requires, or will require, the construction or extension of any plant, equipment, property or facility, the applicant, in addition to complying with Section 8 of this administrative regulation, shall submit the following data, either in the application or as exhibits attached thereto: The facts relied upon to show that the proposed new construction is or will be required by public convenience or necessity.					
ENGINEERING & LEGAL	807 KAR 5:001 Section 9(2)(b)	Copies of franchises or permits, if any, from the proper public authority for the proposed new construction or extension, if not previously filed with the commission.					
ENGINEERING	807 KAR 5:001 Section 9(2)(c)	A full description of the proposed location, route, or routes of the new construction or extension, including a description of the manner in which same will be constructed, and also the names of all public utilities, corporations, or persons with whom the proposed new construction or extension is likely to compete.					
FINANCIAL ANALYSIS	807 KAR 5:001 Section 9(2)(e)	The manner in detail in which it is proposed to finance the new construction or extension.					
ENGINEERING & FINANCIAL ANALYSIS	807 KAR 5:001 Section 9(2)(f)	An estimated cost of operation after the proposed facilities are completed.					
ENGINEERING, FINANCIAL ANALYSIS & LEGAL	807 KAR 5:001 Section 9(2)(g)	All other information necessary to afford the commission a complete understanding of the situation.					
ENGINEERING	807 KAR 5:120 Section 2(2)	Three (3) maps of suitable scale, but no less than one (1) inch equals 1,000 feet for the project proposed. The map detail shall show the location of the proposed transmission line centerline and right of way, and boundaries of each property crossed by the transmission line right of way as indicated on the property valuation administrator's maps, modified as required. Sketches of proposed typical transmission line support structures shall also be provided. A separate map of the same scale shall show any alternative routes that were considered					

Division Responsible	Law/Regulation	Filing Requirement	Requirement Met		Waiver Requested	Approve Waiver?	
			Yes	No		Yes	No
LEGAL	807 KAR 5:120 Section 2(3)(a)	A verified statement that, according to county property valuation administrator records, each property owner over whose property the transmission line right-of-way is proposed to cross has been sent by first-class mail, addressed to the property owner at the owner's address as indicated by the county property valuation administrator records, or hand delivered: Notice of the proposed construction					
LEGAL	Section 2(3)(b)	The commission docket number under which the application will be processed and a map showing the proposed route of the line					
LEGAL	Section 2(3)(c)	The address and telephone number of the executive director of the commission					
LEGAL	Section 2(3)(d)	A description of his or her rights to request a local public hearing and to request to intervene in the case					
LEGAL	Section 2(3)(e)	A description of the project					
LEGAL	807 KAR 5:120 Section 2(4)	A sample copy of each notice provided to a property owner, pursuant to the preceding paragraph, and a list of the names and addresses of the property owners to whom the notice has been sent.					
LEGAL	807 KAR 5:120 Section 2(5)(a)	A statement that a notice of the intent to construct the proposed transmission line has been published in a newspaper of general circulation in the county or counties in which the construction is proposed, which notice included: A map showing the proposed route					
LEGAL	Section 2(5)(b)	A statement of the right to request a local public hearing					
LEGAL	Section 2(5)(c)	A statement that interested persons have the right to request to intervene					
LEGAL	807 KAR 5:120 Section 2(6)	A copy of the newspaper notice described in subsection 5 of this section					
LEGAL	807 KAR 5:120 Section 2(7)	A statement as to whether the project involves sufficient capital outlay to materially affect the existing financial condition of the utility involved.					